## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	Application	ion of: Srinivasan	) Examiner: Not Yet Assigned				
Serial Filed: For:	No.:	Not Yet Assigned August 25, 2003 METHOD, SYSTEM, AND ARTICLE OF MANUFACTURE FOR PARALLEL PROCESSING AND SERIAL LOADING OF HIERARCHICAL DATA	) ) Art Unit: Not Yet Assigned ) ) ) ) ) )				
P.O. E	3ox 145	r for Patents 0 A 22313-1450					
, licka	ilaria, v	A 22010-1400					
		TRANSMITTAL OF INFORMATIO	N DISCLOSURE STATEMENT				
Dear s	Sirs:						
	In acc	ordance with 37 CFR §§ 1.56, 1.97,	and 1.98, enclosed please find Form PTO-				
1449	isting th	e attached references which might b	e deemed material to the examination of the				
above	-identifi	ed application.					
Α.	Non-E	nglish Language References					
		Enclosed is a search report for a co	ounterpart application. The search report				
		Examiner has provided comments	on the relevancy of any non-English language				
		references cited in the search repo	t.				
		The specification incorporates com	ments on the relevancy of Non-English				
		language references.					
		Set forth below are comments prov	ded by the applicant's home country counsel				
		on the relevancy of non-English lan	n the relevancy of non-English language references:				

B.	The information disclosure statement submitted herewith is being filed	
	1)	within three months of the filing date of a national application other than a
		continued prosecution application under 1.53(d);
	2)	within three months of the date of entry into the national stage as set forth in
		1.491 in an international application;
	3)	before the mailing date of a first Office Action on the merits; or
	4)	before the mailing date of a first Office Action after filing of a Request for
		Continued Examination under 1.114.
C.		The information disclosure statement transmitted herewith is being filed after the
		period specified in paragraph B, but before the mailing date of a final action
		under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
	1)	a Statement under 1.97(e); <u>or</u>
	2)	a fee of \$180 as set forth in 1.17(p).
D.		The information disclosure statement transmitted herewith is being filed after the
		period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
	1)	a Statement under 1.97(e); <u>and</u>
	2)	a fee of \$180 as set forth in 1.17(p).
		STATEMENT UNDER 1.97(e)
	I, the	person signing below, certify
		that each item of information contained in the information disclosure statement
		was first cited in any communication from a foreign patent office in a counterpart
		foreign application not more than three months prior to the filing of the
		statement. 37 C.F.R. §1.97(e)(1).
		that no item of information contained in the information disclosure statement was
		cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

Dated: <u>August 25, 2003</u>

Respectfully submitted,

Janáki K. Davda

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I hereby certify that this correspondence is being deposited with the United States Postal Service express mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on August 25, 2003.

Jadaki K. Davda

8/25/03

(Date)

Complete if Known Form 1449/PTO **Application Number** Not Yet Assigned Filing Date August 25, 2003 INFORMATION DISCLOSURE First Named Inventor Q. Jin STATEMENT BY APPLICANT Art Unit Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 1 of 2 Attorney Docket Number Page SVL920030042US1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Publication Date MM-DD-YYYY		Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant Passages or Relevant
			of Cited Document	Figures Appear	
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. <u></u>		US-2002/0099715	07-25-2002	Jahnke et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т
Initials	No.	Country Code- Number- Kind Code				
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	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т		

Form 1449/PTO

Application Number Not Yet Assigned

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

First Named Inventor Q. Jin

Art Unit Not Yet Assigned

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U.S. Patent Application Serial No. 10/234,876, filed on August 30, 2002, entitled "System, Method, and Computer Product for Querying XML Documents Using a Relational Database System," invented by E. SHEKITA AND J. SHANMUGASUNDARAM.
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IBM Corporation. Table Management Utility. Reference Guide. IBM Brick Warehouse. Chapter entitled "Loading Data into a Warehouse Language (XML). Version 6.2, August, 2002, Part. No. 000-9055 [online] Retrived from http:www-3.ibm.com/software/data/informix/pubs/library/interim/9055-pdf.html, 408 pages.
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Examiner Name

Attorney Docket Number

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Examiner Signature		Date Considered			
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